

Attorney Docket No.: 655-012c

Patent

\$ SECTOR
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Baker et al.

Serial No.: 09/777,003

Filed: February 5, 2001

For: AN INTEGRATED MULTIMEDIA SYSTEM

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

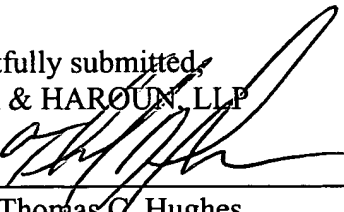
S I R:

Enclosed with this Response to Notice to File Missing Parts please find a check in the amount of \$880.00 (for the filing fee, surcharge and assignment) along with a copy of the Assignment that was filed in the parent application, an Assignment Recordation Sheet and ten Declarations signed by fourteen of the inventors. Regarding the fifteenth co-inventor, enclosed please find a copy of the granted petition that presents that the co-inventor Vinay Naik had been given an opportunity but has refused to join in the filing of the above-identified application.

Any additional fees required in connection with this application may be charged to Deposit Account No. 19-2825.

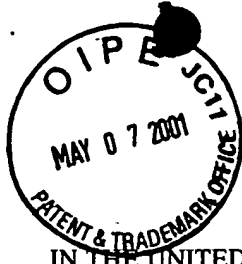
Respectfully submitted,
SOFER & HAROUN, LLP

By:


Thomas C. Hughes
Reg. No. 42,674
342 Madison Avenue, Suite 1921
New York, New York 10173
(212) 697-2800

Dated: May 3, 2001

0 PATENT



Docket No. 655-012c

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : David Baker et al.
Serial No. : 09/777,003
Filed : February 5, 2001 Group Art Unit: 2182
For : AN INTEGRATED MULTIMEDIA SYSTEM

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

HON. COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Sir:

I hereby certify that the attached Response to Notice to File Missing Parts, Notice to File Missing Parts, Fourteen signed Declarations, copy of Granted Petition, Assignment, Assignment Recordation Sheet, Check for \$880.00, and Return Postcard along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

By: Ester Aronova

Ester Aronova

Date: 5/3/01

Mailing Address:

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/777,003	02/05/2001	David Baker	655-0012C

CONFIRMATION NO. 5644

FORMALITIES LETTER



OC00000005975483

SOFER & HAROUN, L.L.P.
Suite 1921
342 Madison Avenue
New York, NY 10173



Date Mailed: 04/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

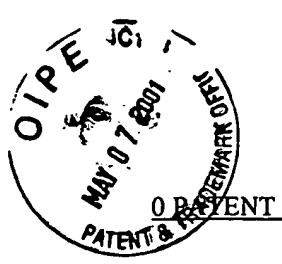
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

05/09/2001 CCK:RU1 00000116 09777003

01 FC:101
02 FC:103

710.00 CP
130.00 CP



Receipt #6
8-29-01

Docket No. 655-012c

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : David Baker et al.
Serial No. : 09/777,003
Filed : February 5, 2001 Group Art Unit: 2182
For : AN INTEGRATED MULTIMEDIA SYSTEM

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

HON. COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

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AUG 24 2001
Technology Center 2100

Sir:

I hereby certify that the attached Request for Corrected Filing Receipt, Copy of Incorrect Filing Receipt, and Return Postcard along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

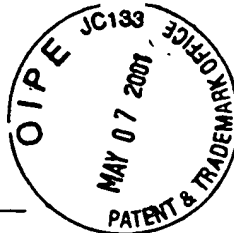
By: Ester Aronova
Ester Aronova

Date: 5/3/01

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Patent



Docket No. 655-012C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Baker et al.
Serial No. : 09/777,003 Examiner: TBA
Filed : February 5, 2001
For : INTEGRATED MULTIMEDIA SYSTEM

REQUEST FOR CORRECTED FILING RECEIPT

Application Processing Division
Customer Correction Branch
United States Patent and Trademark Office
Washington, D.C. 20231

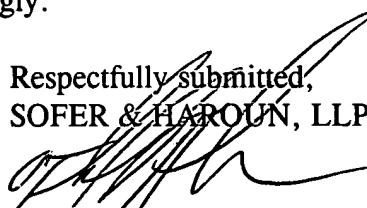
RECEIVED
AUG 24 2001
Technology Center 2100

Sir:

Enclosed, please find a copy of the Filing Receipt which does not include the correct last name of one of the co-inventors. The correct name is "**Benjamin CUTLER.**"

Please make the correction accordingly.

Respectfully submitted,
SOFER & HAROUN, LLP.



Thomas C. Hughes
Reg. No. 42,674

Date: May 3, 2001

Mailing Address:
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342 Madison Avenue, Suite 1921
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/777,003	02/05/2001	2182	0.00	655-0012C	29	1	1

SOFER & HAROUN, L.L.P.
Suite 1921
342 Madison Avenue
New York, NY 10173



CONFIRMATION NO. 5644

FILING RECEIPT



OC000000005975482

Date Mailed: 04/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

- ~David Baker, Residence Not Provided;
- ~Christopher Basoglu, Residence Not Provided;
- ~Benjamin Butler, Residence Not Provided;
- ~Richard Deeley, Residence Not Provided;
- ~Gregorio Gervasio, Residence Not Provided;
- ~Atsuo Kawaguchi, Residence Not Provided;
- ~Keiji Kojima, Residence Not Provided;
- ~Woobin Lee, Residence Not Provided;
- ~Takeshi Miyazaki, Residence Not Provided;
- ~Yatin Mundkur, Residence Not Provided;
- ~Vinay Naik, Residence Not Provided;
- ~Kiyokazu Nishioka, Residence Not Provided;
- ~Toru Nojiri, Residence Not Provided;
- ~John O'Donnell, Residence Not Provided;
- ~Sarang Padalkar, Residence Not Provided;

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AUG 24 2001
Technology Center 2100

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/172,286 10/14/1998

Foreign Applications

If Required, Foreign Filing License Granted 04/16/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Integrated multimedia system

Preliminary Class

710

Data entry by : LE, THANH-LAN

Team : OIPE

Date: 04/17/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to: